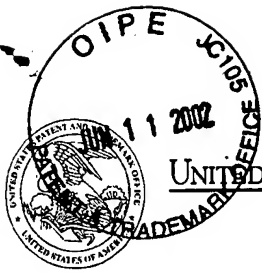


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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,549	02/12/2002	Robert J. Sinaiko	SHPR-01041USR SRM/SDS

CONFIRMATION NO. 6755

FORMALITIES LETTER

Sheldon R. Meyer, Esq.
FLIESLER DUBB MEYER & LOVEJOY LLP
Fourth Floor
Four Embarcadero Center
San Francisco, CA 94111-4156

RECEIVED

APR 15 2002

FLIESLER DUBB
MEYER & LOVEJOY



Date Mailed: 04/10/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/14/2002 HVLONG1 00000019 10074549

FILED UNDER 37 CFR 1.53(b)

01 FC:105 130.00 OP
02 FC:122 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The following item(s) appear to have been **omitted** from the application:

- Figure(s) **6C,6D,6E,6F,10D,10E & 10F** described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR**

1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Robert J. Sinaiko; Charles E. Taylor; Jim L. Lee
Appl. No.: 10/074,549
Confirm. No.: 6755
Filed: February 12, 2002
Title: DUAL INPUT AND OUTLET ELECTROSTATIC AIR
TRANSPORTER-CONDITIONER

PATENT APPLICATION

Art Unit: 1741
Examiner: Unassigned

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on June 5, 2002.

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: June 5, 2002

(Attorney Signature)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated April 10, 2002, enclosed are the following documents in connection with the above-identified application:

- ☒ Copy of Notice to File Missing Parts -- Filing Date Granted
- ☒ Declaration for C-I-P Patent Application
- ☒ Substitute Specification
- ☒ Information Disclosure Statement under 37 C.F.R. §1.56 with cited references
- ☒ Preliminary Amendment
- ☒ Petition to Commissioner Under 37 C.F.R. §1.182
- ☒ Request for Correction of Filing Receipt

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

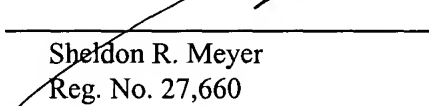
—	Assignment recording fee (\$40.00)	
✓	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
✓	Large Entity	\$130.00
✓	Petition Fee	\$130.00
TOTAL FEE DUE:		<u>\$260.00</u>

Method of Payment of Fees

- ✓ A check in the amount of the TOTAL FEE DUE is enclosed.
- ✓ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 5, 2002

By: 
Sheldon R. Meyer
Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Robert J. Sinaiko; Charles E. Taylor; Jim L. Lee

Appl. No.: 10/074,549

Confirm. No.: 6755

Filed: February 12, 2002

Title: DUAL INPUT AND OUTLET ELECTROSTATIC AIR
TRANSPORTER-CONDITIONER

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(Attorney Signature)

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: June 5, 2002

REQUEST FOR CORRECTION OF FILING RECEIPT

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

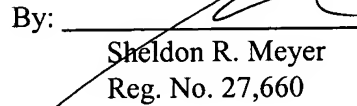
An Amended Filing Receipt is requested in accordance with the additional priority claim indicated in the accompanying Preliminary Amendment. This amended priority claim adds Provisional Patent Application No. 60/340,462 filed December 13, 2002 and U.S. Patent Application No. 09/730,499 which was filed on December 5, 2000. It is noted that this U.S. patent Application 09/730,499 is a continuation of U.S. Patent Application No. 09/186,471 filed November 5, 1998, now U.S. Patent No. 6,176,977, which U.S. Patent was previously included in a claim of priority for this patent application. A copy of the existing Filing Receipt is attached with desired corrections written in.

No fee is required with this communication.

☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 5, 2002

By: 
Sheldon R. Meyer
Reg. No. 27,660

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